Annual PHA Plan (Standard PHAs and Troubled PHAs)

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires: 03/31/2024

Purpose. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, including changes to these policies, and informs HUD, families served by the PHA, and members of the public of the PHA's mission, goals and objectives for serving the needs of low-income, very low-income, and extremely low-income families.

Applicability. The Form HUD-50075-ST is to be completed annually by **STANDARD PHAs or TROUBLED PHAs.** PHAs that meet the definition of a High Performer PHA, Small PHA, HCV-Only PHA or Qualified PHA do not need to submit this form.

Definitions.

- (1) High-Performer PHA A PHA that owns or manages more than 550 combined public housing units and housing choice vouchers, and was designated as a high performer on both the most recent Public Housing Assessment System (PHAS) and Section Eight Management Assessment Program (SEMAP) assessments if administering both programs, or PHAS if only administering public housing.
- (2) Small PHA A PHA that is not designated as PHAS or SEMAP troubled, that owns or manages less than 250 public housing units and any number of vouchers where the total combined units exceed 550.
- (3) Housing Choice Voucher (HCV) Only PHA A PHA that administers more than 550 HCVs, was not designated as troubled in its most recent SEMAP assessment and does not own or manage public housing.
- (4) Standard PHA A PHA that owns or manages 250 or more public housing units and any number of vouchers where the total combined units exceed 550, and that was designated as a standard performer in the most recent PHAS or SEMAP assessments.
- (5) Troubled PHA A PHA that achieves an overall PHAS or SEMAP score of less than 60 percent.
- (6) Qualified PHA A PHA with 550 or fewer public housing dwelling units and/or housing choice vouchers combined and is not PHAS or SEMAP troubled.

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A,	PHA Information.				er of the south to be the south the first that is discussed in	
A.1	PHA Name: The Housing Authority of the City of Frederick PHA Code: MD003 PHA Type: Standard PHA Troubled PHA PHA Plan for Fiscal Year Beginning: (MM/YYYY): 04/01/2024 PHA Inventory (Based on Annual Contributions Contract (ACC) units at time of FY beginning, above) Number of Public Housing (PH) Units 273 Number of Housing Choice Vouchers (HCVs) 873 Total Combined Units/Vouchers 1146 PHA Plan Submission Type: Annual Submission Revised Annual Submission Availability of Information. PHAs must have the elements listed below readily available to the public. A PHA must identify the specific location(s) where the proposed PHA Plan, PHA Plan Elements, and all information relevant to the public hearing and proposed PHA Plan are available for inspection by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on their official website. PHAs are also encouraged to provide each resident council a copy of their PHA Plans.					
	Participating PHAs	PHA Code	Program(s) in the Consortia	Program(s) not in the	No. of Units i	n Each Program
				Consortia	Pfl	HCV
	Lead PHA:					

В.	Plan Clements
B.1	Revision of Existing PHA Plan Elements.
	(a) Have the following PHA Plan elements been revised by the PHA?
	Y N □ ☑ Statement of Housing Needs and Strategy for Addressing Housing Needs □ Deconcentration and Other Policies that Govern Eligibility, Selection, and Admissions. □ Financial Resources. □ Rent Determination. □ Operation and Management. □ Grievance Procedures. □ Homeownership Programs. □ Community Service and Self-Sufficiency Programs. □ Safety and Crime Prevention. □ Pet Policy. □ Asset Management. □ Substantial Deviation. □ Substantial Deviation. □ Significant Amendment/Modification
	If the PHA answered yes for any element, describe the revisions for each revised element(s): A budget showing current financial resources is on display with the PHA Plan. Substantial Deviation and significant amendment or modification are defined as discretionary changes in the plans or policies of the Housing Authority that fundamentally change the mission, goals, objectives or plans of the agency and which require formal approval of the Board of Commissioners. Any PHA changes to the policies or budgeted activities described in the Capital Fund 5 — Year Action Plan for Fiscal Years 2022 – 2026 and/or the Annual Statement for Fiscal Year 2022 in excess of 15% of the total fiscal year grant award, will be subject to a full public hearing and HUD review before implementation. An exception to this definition will be made for any amendments or modifications that are adopted to reflect changes in HUD regulatory requirements; such changes will not be considered significant amendments by IUD.
	(c) The PHA must submit its Deconcentration Policy for Field Office review.
	Deconcentration Policy can be found as attachment A.
B.2	New Activities. (a) Does the PHA intend to undertake any new activities related to the following in the PHA's current Fiscal Year? Y N Hope VI or Choice Neighborhoods.
	X ☐ Mixed Finance Modernization or Development. X ☐ Demolition and/or Disposition. X ☐ Designated Housing for Elderly and/or Disabled Families. X ☐ Conversion of Public Housing to Tenant-Based Assistance. X ☐ Conversion of Public Housing to Project-Based Rental Assistance or Project-Based Vouchers under RAD. X ☐ Occupancy by Over-Income Families. X ☐ Occupancy by Police Officers. X ☐ Non-Smoking Policies. X ☐ Project-Based Vouchers. X ☐ Units with Approved Vacancies for Modernization. X ☐ Other Capital Grant Programs (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants).
	(b) If any of these activities are planned for the current Fiscal Year, describe the activities. For new demolition activities, describe any public housing development or portion thereof, owned by the PHA for which the PHA has applied or will apply for demolition and/or disposition approval under section 18 of the 1937 Act under the separate demolition/disposition approval process. If using Project-Based Vouchers (PBVs), provide the projected number of project-based units and general locations, and describe how project basing would be consistent with the PHA Plan.
	The Housing Authority will continue to assist Lucas Village households with their relocation process. Upon completion of the relocation of the entire community of 88 households, HACF will demolish Lucas Village, which received HUD demolition approval via a Section 18 demolition application, due to life, health and safety concerns.
	The Housing Authority of the City of Frederick will submit Low-Income Housing Tax Credit applications for the redevelopment of Lucas Village.
	The Housing Authority of the City of Frederick will submit a Low-Income Housing Tax Credit Application for a new senior apartment community in Frederick in the Dearbought neighborhood.
	The Housing Authority of the City of Frederick will complete construction of their Low-Income Housing Tax Credit development, The Foundry, in the beginning of 2024. There will be a total of 152 units, 96 senior apartments and 56 family apartments with 8 units being PBVs.

The Housing Authority will begin construction on their Low-Income Housing Tax Credit development, Madison on North Market, in the summer of 2024. There will be a total of 60 family apartments.

The Housing Authority will continue construction on the renovation and expansion of the Carver Community Center.

The Housing Authority will continue to work on its RAD application for 20 ACC units, located at Hillcrest Commons in Frederick. The Housing Authority will also consider refinancing options at Hillcrest Commons.

The Housing Authority may consider applying for RAD for 43 ACC units, located at North Market / South Carroll Apartments in Frederick, MD.

The Housing Authority of the City of Frederick may project-base more vouchers to expand affordable housing opportunities.

The Housing Authority of the City of Frederick may submit other LIHTC applications to expand affordable housing opportunities.

The Housing Authority will apply for other grant, programs, and funding when they are available to meet its capital and development needs.

Progress Report.

B.3

Lucas Village

- Applied for and received HUD Section 18 Demolition approval for Lucas Village.
- Applied for and received 88 Tenant Protection Vouchers for LV residents.
- Hired relocation team to assist LV households with relocation process.

Madison on North Market

• Applied for 4% LIHTC funding to pair with previous award of 9% funding, using "twinning" to provide a solution to a funding gap.

The Foundry (formerly known as South Street Centre)

- Continued construction on 152 new LIHTC units in downtown Frederick. Expected completion: beginning 2024.
- Awarded 8 Project-based vouchers

Carver Community Center

• Chose a contractor through an RFP process, then began the expansion and renovation of the Carver Community Center.

Hillcrest Commons

• Began the RAD application process for 20 ACC units at Hillcrest Commons.

Grant Applications

- Applied for Strategic Demolition Fund grant from the State of Maryland for Lucas Village.
- Applied for Capital Magnet Fund grant from the US Department of the Treasury to assist in financing HACF affordable housing developments.
- Applied for relocation support, predevelopment funding, and development loans for Lucas Village from the City of Frederick.
- Applied for and received CDBG funding from the City of Frederick for the expansion and renovation of the Carver Community Center.

Website

• HACF staff and a web designer are creating a new, more user-friendly website for HACF.

Promote self-sufficiency and asset development of assisted households.

- The Housing Authority provides supportive services through the ROSS grant, as well as coordinating with local agencies to provide additional services for public housing residents.
- The Housing Authority expanded the number of Family Self-Sufficiency slots in its public housing program from 12 to 25.

Ensure Equal Opportunity in Housing for all Americans.

The Housing Authority has established relationships with local advocacy groups to assist in locating
accessible housing for Section 8 voucher holders in need of accessible units. In all public housing
communities at least 5% of units are barrier-free and 2% of units are equipped for the hearing impaired.

B.4	Capital Improvements. Include a reference here to the most recent HUD-approved 5-Year Action Plan in EPIC and the date that it was approved.
	See Capital Fund 5-Year Action Plan in EPIC approved by HUD on 08/15/2022.
B.5	Most Recent Fiscal Year Audit.
	(a) Were there any findings in the most recent FY Audit?
	Y N X □
	(b) If yes, please describe: Please see Attachment B
С.	Other Document and/or Certification Requirements.
C.1	Resident Advisory Board (RAB) Comments.
	(a) Did the RAB(s) have comments to the PHA Plan?
	Y N ⊠ □
	(b) If yes, comments must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the RAB recommendations and the decisions made on the these recommendations.
	Please see Attachment C.
C.2	Certification by State or Local Officials.
C.2	Form HUD 50077-SL, Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan, must be submitted by the PHA as ab electronic attachment to the PHA Plan
C.3	Civil Rights Certification/ Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan.
	Form HUD-50077-ST-HCV-HP, PHA Certifications of Compliance with PHA Plan, Civil Rights, and Related Laws and Regulations including PHA Plan Elements that Have Changed, must be submitted by the PHA as an electronic attachment to the PHA Plan.
C.4	Challenged Elements. If any element of the PHA Plan is challenged, a PHA must include such information as an attachment with a description of any challenges to Plan elements, the source of the challenge, and the PHA's response to the public.
	(a) Did the public challenge any elements of the Plan?
	Y N □ IXI
	If yes, include Challenged Elements.
C.5	Troubled PHA.
	(a) Does the PHA have any current Memorandum of Agreement, Performance Improvement Plan, or Recovery Plan in place? Y N N/A
	(b) If yes, please describe:

D. Affirmatively Furthering Fair Housing (AFFH). **D.1** Affirmatively Furthering Fair Housing (AFFH). Provide a statement of the PHA's strategies and actions to achieve fair housing goals outlined in an accepted Assessment of Fair Housing (AFH) consistent with 24 CFR § 5.154(d)(5). Use the chart provided below. (PHAs should add as many goals as necessary to overcome fair housing issues and contributing factors.) Until such time as the PHA is required to submit an AFH, the PHA is not obligated to complete this chart. The PHA will fulfill, nevertheless, the requirements at 24 CFR § 903.7(o) enacted prior to August 17, 2015. See Instructions for further detail on completing this item. Fair Housing Goal: Goal 1: Increase Awareness Describe fair housing strategies and actions to achieve the goal Objective 1.1: Increase public awareness of public housing programs by 50% within the next year through targeted marketing efforts. Objective 1.2: Establish an on-line presence through a dedicated website, social media profiles, and email marketing to disseminate information about public housing programs and eligibility criteria. Fair Housing Goal: Goal 2: Streamline Application Process Describe fair housing strategies and actions to achieve the goal Objective 2.1; Simplify the application process by implementing an online application system, reducing paperwork and administrative barriers. Objective 2.2. Decrease the average processing time for housing applications by 40% within the next two years through process optimization and automation. Fair Housing Goal: Goal 3: Expand Outreach:

Describe fair housing strategies and actions to achieve the goal

Objective 3.1: Collaborate with community organizations, local governments, and non-profits to conduct outreach programs in underserved areas.

Objective 3.2: Develop multilingual marketing materials to ensure accessibility and inclusivity for diverse communities.

Goal 4: Enhance Tenant Services

Objective 4.1: Improve tenant support services, including maintenance, security, and community-building initiatives, to increase tenant satisfaction by 100% within the next three years.

Objective 4.2: Implement regular feedback mechanisms to gather tenant input and address concerns promptly.

HOUSING AUTHORITY OF THE CITY OF FREDERICK DECONCENTRATION POLICY

It is the policy of the Housing Authority of the City of Frederick to provide for deconcentration of poverty and encourage income mixing by bringing higher income families into lower income developments and lower income families into higher income developments. Toward this end, families may be skipped on the waiting list to reach other families with a lower or higher income. This will be accomplished in a uniform and non-discriminating manner.

The Housing Authority of the City of Frederick will affirmatively market housing to all eligible income groups. Lower income families will not be steered toward lower income developments and higher income families will not be steered toward higher income developments.

Prior to the beginning of each fiscal year, the Housing Authority will perform a deconcentration analysis of the income levels of families residing at each development. Based on the results of this analysis, marketing and deconcentration strategies will be implemented.

Attachment B

HOUSING AUTHORITY OF THE CITY OF FREDERICK FREDERICK, MARYLAND

SCHEDULE OF FINDINGS AND RECOMMENDATIONS (CONT'D)

PART B - FINDINGS RELATED TO FINANCIAL STATEMENTS

STATUS OF PRIOR YEAR FINDINGS AND RECOMMENDATIONS

None.

CURRENT YEAR FINDINGS AND RECOMMENDATIONS

2022-001 - FINANCIAL DATA SCHEDULE ERRORS

Condition

During the audit process, numerous financial data schedule (FDS) reporting errors were identified and brought to the attention of management for correction. Although much of the information for the corrections was provided by the Authority, the fact that these corrections were required for the FDS to be properly stated and were not identified by the Authority's management constitutes a deticiency in the Authority's financial reporting processes.

Criteria

The internal controls over the preparation of the FDS should allow for the identification of material misstatements.

<u>Cause</u>

Tumover in the finance department and staffing shortages caused by the current economic conditions.

Effect

The Authority's FDS as of and for the year ended March 31, 2022 was not properly stated until the corrections were made.

<u>Recommendation</u>

We recommend that the unaudited FDS be reviewed by the Authority in detail prior to submission to HUD REAC and that the Authority consider preparing a bridging document that would reconcile the Authority's general ledger account balances to the FDS balances. The bridging document would also help the Authority Identify potential FDS reporting errors in a timely manner.

HOUSING AUTHORITY OF THE CITY OF FREDERICK FREDERICK, MARYLAND

SCHEDULE OF FINDINGS AND RECOMMENDATIONS (CONT'D)

PART C - FINDINGS-RELATED-TO-FEDERAL-AWARDS

STATUS OF PRIOR YEAR FINDINGS

None.

CURRENT YEAR FINDINGS AND RECOMMENDATIONS

2022-002 - SUBMISSION TO THE FEDERAL AUDIT CLEARINGHOUSE

Condition

The Authority's single audit and reporting package to the Federal Audit Clearinghouse was delayed for the year ended March 31, 2022 beyond the due date.

Criteria

The submission to the Federal Audit Clearinghouse is due within nine months of its fiscal year-end.

<u>Cause</u>

The Authority did not have an audit completed within the due date of December 31, 2022,

Effect

The Federal Audit Clearinghouse ffling deadline for the December 31, 2022 single audit was not met.

Recommendation

The Authority should develop procedures to ensure timely completion of the audit and submission to the Federal Audit Clearinghouse.

CHAIRMAN

Bernard W. Brown

VICE CHAIRMAN

Delores A. Ambush

BOARD OF COMMISSIONERS

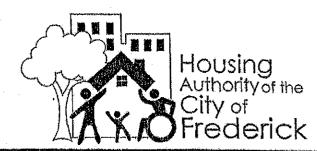
Phyllis C. Carroll

Greer Garcia

Zakia Gailliard

EXECUTIVE DIRECTOR

E. Kevin Lollar



Housing Families, Building Communities, Creating a Stronger Frederick

FINDING 2022-001

MANAGEMENT'S CORRECTIVE ACTION PLAN

Due to Covid and the hiring of new staff some prior procedures where lost in the transition, The Authority will continue to evaluate its year end procedures and will implement a procedure of preparing a bridging document between the general ledger and FDS.

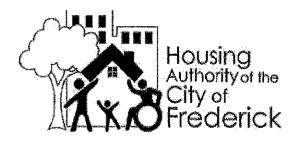
FINDING 2022-002

MANAGEMENT'S CORRECTIVE ACTION PLAN

Due to Covid the scheduling of Audits has been much later than previous years. The Authority will be returning to the previous audit schedule to ensure timely filing of the audit with the Federal Audit Clearinghouse. Specifically, the Authority will have information available and to the independent auditor by July 2023.

These recommendations will be implemented for the March 31, 2023 year end.

These correction action plans were developed by E. Kevin Lollar, Executive Director and Barbara Hood, Director of Finance.



Resident Advisory Board Meeting

207 Lee Alley Frederick Md 21701 Carver Community Room Wednesday September 27th, 2023, at 3pm

Meeting Notes

Meeting started at 3:05pm

Attendees:

HACF Staff

Stepahanie Davis, Director of Operations
Steve Frizzell, Director of Physical Services
Eddie Bempah, Maintenance Superintendent
Darrell Armstrong, Housing Inspector
Kiesha Edmonds, Resident Coordinator

RAB Board Members:

Brenda Tillery, Lincoln President

Bonita Simmerman, Lincoln Vice President

Austin Keeney, Lincoln Outreach Coordinator

Edna Peck, Catoctin Manor President

Christine Bowie, Catoctin Manor Vice President

August Strempek, Carver Vice President Linda Luster, Carver Secretary Robin Smallwood, Lucas Village Secretary

RAB Members not present:

Lori Prather, Voucher Participant
Katie Biddinger, Carver Outreach
Marie Davis, Carver President
Raymond Humphrey, Catoctin Manor Secretary
Gerri Lehman, Catoctin Manor Treasurer
Wendy Thompson, Lincoln Treasurer
Sade Thomas, Acting President Lucas Village
Onella St. Hilaire, Lucas Village Treasurer
Jescica Mattison, Lucas Village Outreach

Reviewed, discussed, completed, and made action plans for all items shared at meeting June 29th, 2023. A few additional items were added, discussed and action plans were made.

Description	Staff	Community	Plan
Amount of tipster and Smell	Eddie	Lincoln	Set up quarterly scheduled to have tipster cleaned during the year. HACF will order more tipsters when they become available within the city.
Complaint system	Eddie	Lincoln	Current system, call Eddie directly

			with complaints. 301-662-8173 ext. 1114 was given to all Board Members
Quality of Landscapers and material they use	Steve	Lincoln	Reviewing and working on updating out to bid information
Concerns about illegal activities, smoking in units weed and cigarettes. Between buildings 3 and 4. Smell of smoke in community room building 1.	Darrell Steve Eddie	Lincoln	Letters will be sent to two residents in building one and inspections will be carried out. Camera's will be watch and security will be informed to watch the area. HACF also shared to speak with security if residents feel comfortable to do so. If not call the main office
Policy to address effective and timely communication with residents	Steve Stephanie Kiesha	Lincoln	Currently HACF is working on updating its website to communicate with residents and the public. HACF is also looking into being able to do text blast. Information. With a new website and more options

Air ducts cleaning In each unit same as the filter change	Steve Eddie	Lincoln and Carver	HACF should be able to information out to everyone quickly. Board Members requested ducts be cleaned like filter changes. HACF does not believe that to be needed as often. HACF will review cost and information.
Floors	Eddie Steve	Lincoln	See Below
Renovation of Units	Stève	Lincoln and Carver	Units in Lincoln are scheduled for renovations. Hud permits funds to be used for renovations only after 20 years. Lincoln's last renovations prior to 2000 and Carver was after 2000. Caver could possibly meet the criteria in the next few years. However, HACF cannot guarantee renovations as it still must go through the

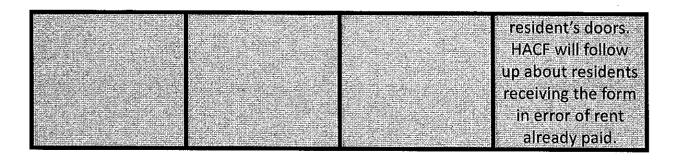
			physical needs assessment approval process. Renovations to include floors, doors, bathtubs, light fixtures, painting etc.
Bulk trash twice a month	Eddie	Lucas Village	HACF is willing to provide bulk trash to residents moving out at no cost however with specific requirements. Maintenance will create a memo for the relocation team to distribute so there is no confusion.
Handicap spots/ Reserve parking	Steve Kiesha	Catoctin Manor	options for concern with parking such as reserved resident and visitors' spots. Residents are getting parking permits. HACF will work on it and share the timeframe and information for all to adjust to the change. This will include different

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			signage. As the current signs are not being followed.
Shower strips / Grab bars	Steve	Catoctin Manor	If residents have a request, they should contact maintenance directly for approval
Blinds	Steve	Catoctin Manor	HACF will be having the windows tinted in the community room.
Bus stop In front of the buildings of the three senior communities	Kiesha	Catoctin Manor	HACF will meet with Sharpes Square and Catoctin View property managers and work with the Manor council to create a petition to be signed and submitted to the county.
Storage space	Steve Klesha	Carver	Request for council to have storage space in the new community center once renovations are complete. As well as now to remove items, Lucas Village board members checked

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			and secured space at family services for the time being. HACF will look for other location for storage if it needed.
Carpets	Steve	Catoctin Manor	Spectrum is the carpet company HACF uses. HACF could possibly have carpets cleaned for residents on a case-by-case basis.
Unauthorized Animals	HACF	Carver	People are bringing their dogs into the community to use bathroom and having them off the leach.
Unauthorized Person	HACF	Carver/ Lincoln	Way too many people living in units not on lease some are causing issue within the community. Why is this violation of the lease not being addressed by housing
Intention to file yellow sheet	Darrell Kiesha	Catoctin Manor Lucas Village	HACF will put the forms in an envelope when putting it on



Public Hearing meeting is scheduled for **November 21, 2023**Details will be share with the RAB Board members. All are invited to attend. The meeting will address the Annual Plan for FY 2024

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan or State Consolidated Plan (All PHAs)

U. S Department of Housing and Urban Development

Office of Public and Indian Housing
OMB No. 2577-0226
Expires 2/29/2016

form HUD-50077-SL (12/2014)

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan or State Consolidated Plan

I, <u>Ruth Waxter</u> Official's Name	, the <u>Assistant Director of Housing</u> Official's Title
certify that the 5-Year PHA Plan 2021-20	025 and/or Annual PHA Plan for fiscal year 04/2024 of
	nority of the City of Frederick HA Name
is consistent with the Consolidated Plan or S	State Consolidated Plan and the Analysis of
Impediments (AI) to Fair Housing Choice of	f the
City of Frederick pursuant to 24 CFR Part 91 and 24 CFR ss 9	Local Jurisdiction Name 903.7 (o) (3) and 903.15.
Provide a description of how the PHA Plan i Consolidated Plan and the AI.	is consistent with the Consolidated Plan or State
forth in the PHA Plan support the primary go low-and moderate-income households in the	of the Housing Authority of the City of Frederick set oal of the Consolidated Plan to provide assistance to forms of affordable housing opportunities, suitable related to residential, financial, and social stability.
nving environments, and supportive services	s related to residential, financial, and social stability.
I hereby certify that all the information stated herein, as well as any information prosecute false claims and statements. Conviction may result in criminal and	ation provided in the accompaniment herewith, is true and accurate. Warning: HUD will d/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)
Name of Authorized Official	Title
Ruta Waven	Assistant Director of Housing Date 12/14/23
Signature	12/14/2.3

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